## Technical Information Report

Juy in Med

## PREVIEW COPY

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a parchasing decision.

of the document before making packsing decision R12:

For a complete copy of this AAMI document, contact AAMI at +1-877-249-8226 or visit what in org.

Designing, testing, and labeling reusable medical devices for reprocessing in health care facilities:
A guide for medical device manufacturers





## **PREVIEW COPY**

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision.



## Designing, testing, and labeling reusable medical devices for reprocessing in health care facilities: This is a pAguide for medical device manufacturers

intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision.

For a complete copy of this AAMI document, contact AAMI at +1-877-249-8226 or visit www.aami.org.

Approved 7 September 2010
Association for the Advancement of Medical Instrumentation

#### Abstract:

This technical information report (TIR) covers design considerations that medical device manufacturers should take into account to help ensure that their products can be safely and effectively reprocessed. It also provides information on decontamination, cleaning, disinfection, and sterilization processes commonly used in health care facilities so that manufacturers can validate reprocessing procedures that can be recommended to and performed adequately in health care facilities. Labeling recommendations and information on applicable regulations are also provided in the TIR, as well as a bibliography and other informative annexes.

**Keywords:** cleaning, decontamination, disinfection, instructions for use, medical device design, sterilization

#### **AAMI Technical Information Report**

A technical information report (TIR) is a publication of the Association for the Advancement of Medical Instrumentation (AAMI) Standards Board that addresses a particular aspect of medical technology.

Although the material presented in a TIR may need further evaluation by experts, releasing the information is valuable because the industry and the professions have an immediate need for it.

A TIR differs markedly from a standard or recommended practice, and readers should understand the differences between these documents.

Standards and recommended practices are subject to a formal process of committee approval, public review, and resolution of all comments. This process of consensus is supervised by the AAMI Standards Board and, in the case of American National Standards, by the American National Standards Institute.

A TIR is not subject to the same formal approval process as a standard. However, a TIR is approved for distribution by a technical committee and the AAMI Standards Board. Medical Technology

Another difference is that although both standards and TIRs are periodically reviewed, a standard must be acted on—reaffirmed, revised, or withdrawn—and the action formally approved usually every 5 years but at least every 10 years. For a TIR, AAMI consults with a technical committee about five years after the publication date (and periodically thereafter) for guidance on whether the document is still useful—that is, to check that the information is relevant or of historical value. If the information is not useful, the TIR is removed from circulation.

A TIR may be developed because it is more responsive to underlying safety or performance issues than a standard or recommended practice, or because achieving consensus is extremely difficult or unlikely. Unlike a standard, a TIR permits the inclusion of differing/viewpoints on technical issues./// quidance document and is

CAUTION NOTICE: This AAMI TIR may be revised or withdrawn at any time. Because it addresses a rapidly evolving field of technology, readers are cautioned to ensure that they have also considered information that may be more recent than this document.

All standards, recommended practices, technical information reports, and other types of technical documents developed by AAMI are *voluntary*, and their application is solely within the discretion and professional judgment of the user of the document. Occasionally, voluntary technical documents are adopted by government regulatory agencies or procurement authorities, in which case the adopting agency is responsible for enforcement of its rules and regulations.

Comments on this technical information report are invited and should be sent to AAMI, Attn: Standards Department, 4301 N. Fairfax Dr., Suite 301, Arlington, VA 22203-1633.

#### Published by

Association for the Advancement of Medical Instrumentation 4301 N. Fairfax Dr., Ste. 301 Arlington, VA 22203-1633 www.aami.org

© 2010 by the Association for the Advancement of Medical Instrumentation

#### All Rights Reserved

Publication, reproduction, photocopying, storage, or transmission, electronically or otherwise, of all or any part of this document without the prior written permission of the Association for the Advancement of Medical Instrumentation is strictly prohibited by law. It is illegal under federal law (17 U.S.C. § 101, et seq.) to make copies of all or any part of this document (whether internally or externally) without the prior written permission of the Association for the Advancement of Medical Instrumentation. Violators risk legal action, including civil and criminal penalties, and damages of \$100,000 per offense. For permission regarding the use of all or any part of this document, complete the reprint request form at <a href="https://www.aami.org">www.aami.org</a> or contact AAMI at 4301 N. Fairfax Drive, Suite 301, Arlington, VA 22203. Phone: (703) 525-4890; Fax: (703) 525-1067.

Printed in the United States of America

ISBN 1-57020-397-0

#### Contents

		Page
Glo	ossary of equivalent standards	v
Со	mmittee representation	vi
	reword	
Intr	roduction	1
1	Scope	1
	Scope	1 2
2	Definitions and abbreviations	
3	Design considerations	5
3	· ·	
	3.1 Overview	5
	3.3 Physical design considerations	6
	3.4 Material design considerations 3.4.1 Th General considerations it in of an AAMI guidance document and is	
	3.4.2 interpretended in the content	7
	3.4.2 interlymeric materials we potential purchasers to evaluate the content 3.4.3 Metals 3.5 Total system design considerations before making a purchasing decision.	8 8
	3.6 Misuse-related design considerations	9
	3.7 Device equivalence 3.7.1 General considerations y of this AAIVII document, contact AAIVII at	99 9
	3.7.2 Product families - 8.7.7 - 2.49 - 8.2.26 or . visit. www.aami.org	9
	3.7.3 Master products	
	3.8 Product functionality	10
	3.8.1 General considerations	
	3.8.2 Determination of functionality	
4	Decontamination	
	4.1 Overview	11
	4.2 Common hospital cleaning/decontamination agents and procedures	
	4.2.2 Precleaning at the point of use	12
	4.2.3 Disassembly and sorting	12 12
	4.2.5 Cleaning methods and equipment	
	4.3 Manufacturers' responsibilities	
	4.3.1 General considerations	
	4.3.3 Cleaning agents	19
	4.3.4 Cleaning procedures	
5	Disinfection with liquid chemicals	
•	5.1 Overview	
	5.1 Overview	
	5.2.1 General considerations	21
	5.2.2 High-level disinfection	
	5.2.4 Low-level disinfection	27 24

	5.3 Device design considerations for disinfection with liquid chemicals	25
	5.4 Criteria for selecting an appropriate chemical disinfectant	
	5.5 Toxicity	26
	5.6 Materials compatibility	20
	5.8 Test data and user verification	
6	Sterilization	
	6.1 Overview	27
	6.2 Sterilization processes available for use in health care facilities	
	6.3 Device design considerations for sterilization	28
	6.4 Packaging considerations for sterilization processes	29
	6.5 Sterilization efficacy testing	29
	6.6 Device and sterilization compatibility	31
	6.7 Evaluation of sterilant residues and aeration or rinsing parameters	32
	6.7.1 General considerations 6.7.2 Ethylene oxide	32
	6.7.2 Ethylene oxide	32
	6.7.3 Other sterilant residues or Safety in Medical Technology 6.7.4 Rinsing parameters	32
	6.7.4 Rinsing parameters	32
	6.9 Information to be supplied to health care personnel	32
	6.9.1 Lumened devices	33
		0 .
Anr	nexes	
Α	Liquid chemical disinfectants commonly used in health care facilities	35
В	Sterilization cycles commonly available in health care facilities	37
С	Processing CJD-contaminated patient care equipment and environmental surfaces	43
D	Regulatory considerations. Edition of an AAIVII guidance document and is	44
Е	Bibliography ded to allow potential purchasers to evaluate the content	49
Tab	of the document before making a purchasing decision.	
1	Types of enzymes used in enzymatic detergents	14
2	Microorganisms listed in descending order of resistance/to chemical sterilants and disinfectants	23
3	Levels of disinfection according to type of microorganism	24
4	Examples of labeled contact conditions for high-level disinfection for FDA-cleared glutaraldehyde	
	products	24
В.1	Time and temperature parameters for gravity-displacement steam sterilization cycles in health care	07
D 2	facilities	37
D.Z	facilities	38
B.3	Depth and number of vacuum pulses recommended for the Bowie-Dick test	
	Parameters for EO sterilization cycles in health care facilities	
	Parameters for dry heat sterilization cycles in health care facilities	
<b>B.6</b>	Examples of parameters for liquid chemical sterilant cycles	41
<b>B.7</b>	Parameters for hydrogen peroxide sterilization cycles in health care facilities	41
B.8	Parameters for hydrogen peroxide gas sterilization cycles in health care facilities	42
	Parameters for chemical vapor sterilization cycles in health care facilities	
B.1	Parameters for ozone sterilization cycles in health care facilities	42

#### Glossary of equivalent standards

International Standards adopted in the United States may include normative references to other International Standards. For each International Standard that has been adopted by AAMI (and ANSI), the table below gives the corresponding U.S. designation and level of equivalency to the International Standard. NOTE: Documents are sorted by international designation. The code in the US column, "(R)20xx" indicates the year the document was officially reaffirmed by AAMI. E.g., ANSI/AAMI/ISO 10993-4:2002/(R)2009 indicates that 10993-4, originally approved and published in 2002, was reaffirmed without change in 2009.

Other normatively referenced International Standards may be under consideration for U.S. adoption by AAMI; therefore, this list should not be considered exhaustive.

International designation	U.S. designation	Equivalency
IEC 60601-1:2005	ANSI/AAMI ES60601-1:2005 and ANSI/AAMI	Major technical variations
Technical Corrigendum 1 and 2	ES60601-1:2005/A2:2010	•
	ANSI/AAMI ES60601-1:2005/C1:2009 (amdt)	C1 Identical to Corrigendum 1 & 2
IEC 60601-1-2:2007	ANSI/AAMI/IEC 60601-1-2:2007	Identical
IEC 60601-2-2:2009	ANSI/AAMI/IEC 60601-2-2:2009	Identical
IEC 60601-2-4:2002	ANSI/AAMI DF80:2003/(R)2010	Major technical variations
IEC 60601-2-19:2009	ANSI/AAMI/IEC 60601-2-19:2009	Identical
IEC 60601-2-20:2009	ANSI/AAMI/IEC 60601-2-20:2009	Identical
IEC 60601-2-21:2009	ANSI/AAMI/IEC 60601-2-21:2009	Identical
IEC 60601-2-24:1998	ANSI/AAMI ID26:2004/(R)2009	Major technical variations
IEC 60601-2-47:2001	ANSI/AAMI EC38:2007	Major technical variations
IEC 60601-2-50:2009	ANSI/AAMI/IEC 60601-2-50:2009	Identical
IEC 80001-1:2010 is a provious	ANSI/AAMI/IEC.80001-1;2010	Identical is
IEC 80601-2-30:2009 and Technical	ANSI/AAMI/IEC 80601-2-30:2009 and	Identical (with inclusion)
Corrigendum 1 intended to allow	/ANSI/AAMI/IEC/80601-2-30:2009/ C1:2009 te	C19dentical to Corrigendum 1
of the docur	(amdt) consolidated text, a purchasing of	decision
IEC 80601-2-58:2008	ANSI/AAMI/IEC 80601-2-58:2008	Identical
IEC/TR 60878:2009	ANSI/AAMI/IEC TIR60878:2003	Identical
IEC/TR 62296:2009r a complete	cansi/aami/iEC/TiR62296;2009ment, cont	atdentical/II at
	ANSI/AAMI/IEC 62304:2006	Identical
IEC/TR 62348:2006	ANSI/AAMI/IEC TIR62348:2006	dentical
IEC/TR 62354:2009	ANSI/AAMI/IEC TIR62354:2009	Identical
IEC 62366:2007	ANSI/AAMI/IEC 62377:2007	Identical
IEC/TR 80002-1:2009	ANSI/IEC/TR 80002-1:2009	Identical
ISO 5840:2005	ANSI/AAMI/ISO 5840:2005/(R)2010	Identical
ISO 7198:1998	ANSI/AAMI/ISO 7198:1998/2001/(R)2010	Identical
ISO 7199:2009	ANSI/AAMI/ISO 7199:2009	Identical
ISO 8637:2010	ANSI/AAMI/ISO 8637:2010	Identical
ISO 8638:2010	ANSI/AAMI/ISO 8638:2010	Identical
ISO 10993-1:2009	ANSI/AAMI/ISO 10993-1:2009	Identical
ISO 10993-2:2006	ANSI/AAMI/ISO 10993-2:2006	Identical
ISO 10993-3:2003	ANSI/AAMI/ISO 10993-3:2003/(R)2009	Identical
ISO 10993-4:2002 and	ANSI/AAMI/ISO 10993-4:2002/(R)2009 and	Identical
Amendment 1:2006	Amendment 1:2006/(R)2009	
ISO 10993-5:2009	ANSI/AAMI/ISO 10993-5:2009	Identical
ISO 10993-6:2007	ANSI/AAMI/ISO 10993-6:2007	Identical
ISO 10993-7:2008	ANSI/AAMI/ISO 10993-7:2008	Identical
ISO 10993-9:2009	ANSI/AAMI/ISO 10993-9:2009	Identical
ISO 10993-10:2010	ANSI/AAMI/ISO 10993-10:2010	Identical
ISO 10993-11:2006	ANSI/AAMI/ISO 10993-11:2006	Identical
ISO 10993-12:2007	ANSI/AAMI/ISO 10993-12:2007	Identical
ISO 10993-13:2010	ANSI/AAMI/ISO 10993-13:2010	Identical
ISO 10993-14:2001	ANSI/AAMI/ISO 10993-14:2001/(R)2006	Identical
ISO 10993-15:2000	ANSI/AAMI/ISO 10993-15:2000/(R)2006	Identical
ISO 10993-16:2010	ANSI/AAMI/ISO 10993-16:2010	Identical
ISO 10993-17:2002	ANSI/AAMI/ISO 10993-17:2002/(R)2008	Identical
ISO 10993-18:2005	ANSI/AAMI BE83:2006	Major technical variations
ISO/TS 10993-19:2006	ANSI/AAMI/ISO TIR10993-19:2006	Identical

International designation	U.S. designation	Equivalency
ISO/TS 10993-20:2006	ANSI/AAMI/ISO TIR10993-20:2006	Identical
ISO 11135-1:2007	ANSI/AAMI/ISO 11135-1:2007	Identical
ISO/TS 11135-2:2008	ANSI/AAMI/ISO TIR11135-2:2008	Identical
ISO 11137-1:2006	ANSI/AAMI/ISO 11137-1:2006/(R)2010	Identical
ISO 11137-2:2006 (2006-08-01	ANSI/AAMI/ISO 11137-2:2006	Identical
corrected version)		
ISO 11137-3:2006	ANSI/AAMI/ISO 11137-3:2006/(R)2010	Identical
ISO 11138-1: 2006	ANSI/AAMI/ISO 11138-1:2006/(R)2010	Identical
ISO 11138-2: 2006	ANSI/AAMI/ISO 11138-2:2006/(R)2010	Identical
ISO 11138-3: 2006	ANSI/AAMI/ISO 11138-3:2006/(R)2010	Identical
ISO 11138-4: 2006	ANSI/AAMI/ISO 11138-4:2006/(R)2010	Identical
ISO 11138-5: 2006	ANSI/AAMI/ISO 11138-5:2006/(R)2010	Identical
ISO/TS 11139:2006	ANSI/AAMI/ISO 11139:2006	Identical
ISO 11140-1:2005	ANSI/AAMI/ISO 11140-1:2005/(R)2010	Identical
ISO 11140-3:2007	ANSI/AAMI/ISO 11140-3:2007	Identical
ISO 11140-4:2007	ANSI/AAMI/ISO 11140-4:2007	Identical
ISO 11140-5:2007	ANSI/AAMI/ISO 11140-5:2007	Identical
ISO 11607-1:2006	ANSI/AAMI/ISO 11607-1:2006	Identical
ISO 11607-2:2006	ANSI/AAMI/ISO 11607-2:2006	Identical
ISO 11737-1: 2006	ANSI/AAMI/ISO 11737-1:2006	Identical
ISO 11737-2:2009	ANSI/AAMI/ISO 11737-2:2009	Identical
ISO 13408-1:2008	ANSI/AAMI/ISO 13408-1:2008	Identical
ISO 13408-2:2003	ANSI/AAMI/ISO 13408-2:2003 ANSI/AAMI/ISO 13408-3:2006	Identical
ISO 13408-3:2006	ANSI/AAMI/ISO 13408-3:2006 ANSI/AAMI/ISO 13408-4:2005	Identical
ISO 13408-4:2005 is a preview	ANSI/AAMI/ISO 13406-14,2005 idance docu	Identical is
	ANSI/AAMI/ISQ13408-6:2006 to evaluate	Identicantent
ISO 13485:2003 of the docur	ANSI/AAMI/ISO 13485:2003/(R)2009 asing (	Identical
ISO 14155-1:2003	ANSI/AAMI/ISO 14155-1:2003/(R)2008	Identical
ISO 14155-2:2003	ANSI/AAMI/ISO 14155-2:2003/(R)2008	Identical
	CANSI/AAMI/ISO 14160:1998/(R)2008t, cont	
	ANSI/AAMI/ISO 14161:2009	Identical
ISO 14708-3:2008	ANSI/AAMI/ISO 14708-3:2008	Identical
ISO 14708-4:2008	ANSI/AAMI/ISO 14708-4:2008	Identical
ISO 14708-5:2010	ANSI/AAMI /ISO 14708-5:2010	Identical
ISO 14937:2009	ANSI/AAMI/ISO 14937:2009	Identical
ISO/TR 14969:2004	ANSI/AAMI/ISO TIR14969:2004	Identical
ISO 14971:2007	ANSI/AAMI/ISO 14971:2007/(R)2010	Identical
ISO 15223-1:2007 and A1:2008	ANSI/AAMI/ISO 15223-1:2007 and A1:2008	Identical
ISO 15223-2:2010	ANSI/AAMI/ISO 15223-2:2010	Identical
ISO 15225:2010	ANSI/AAMI/ISO 15225:2010	Identical
ISO 15674:2009	ANSI/AAMI/ISO 15674:2009	Identical
ISO 15675:2009 ISO 15882:2008	ANSI/AAMI/ISO 15675:2009 ANSI/AAMI/ISO 15882:2008	Identical Identical
ISO 15882.2006	ANSI/AAMI ST15883-1:2009	Major technical variations
ISO/TR 16142:2006	ANSI/AAMI/ISO TIR16142:2005	Identical
ISO 17664:2004	ANSI/AAMI ST81:2004	Major technical variations
ISO 17665-1:2006	ANSI/AAMI/ISO 17665-1:2006	Identical (with inclusions)
ISO/TS 17665-2:2009	ANSI/AAMI/ISO TIR17665-2:2009	Identical
ISO 18472:2006	ANSI/AAMI/ISO 18472:2006	Identical
ISO/TS 19218:2005	ANSI/AAMI/ISO 19218:2005	Identical
ISO 22442-1:2007	ANSI/AAMI/ISO 22442-1:2007	Identical
ISO 22442-2:2007	ANSI/AAMI/ISO 22442-2:2007	Identical
ISO 22442-3:2007	ANSI/AAMI/ISO 22442-3:2007	Identical
ISO 25539-1:2003 and A1:2005	ANSI/AAMI/ISO 25539-1:2003/(R)2009 and	Identical
	A1:2005/(R)2009	
ISO 25539-2:2008	ANSI/AAMI/ISO 25539-2:2008	Identical
ISO 27186:2010	ANSI/AAMI/ISO 27186:2010	Identical
ISO 81060-1:2007	ANSI/AAMI/ISO 81060-1:2007	Identical
ISO 81060-2:2009	ANSI/AAMI/ISO 81060-2:2009	Identical

#### Committee representation

#### Association for the Advancement of Medical Instrumentation

#### Instructions for Reusable Device Reprocessing Working Group

This technical information report (TIR) was developed by the AAMI Instructions for Reusable Device Reprocessing Working Group under the auspices of the AAMI Sterilization Standards Committee. Approval of the TIR does not necessarily mean that all working group members voted for its approval.

At the time this document was published, the AAMI Instructions for Reusable Device Reprocessing Working **Group** had the following members:

Ralph J. Basile, MBA, Healthmark Ind Co Inc. Cochairs:

Nancy Chobin, RN CSPDM, Independent Expert

Brian C. Brosdahl, PhDa Sterilucent Inch Medical Technology Members:

Renee Camp, Ethox International Inc.

Bradley J. Catalone, PhD, Olympus America Inc. Nancy Chobin, RN CSPDM, Independent Expert

Jacqueline Daley, Independent Expert

Anthony J. DeMarinis, BS MS CQA CQM, CR Bard

Michele Dawn Demeo, CRCST CSPDT, Independent Expert

Betty D. Edge, Independent Expert

Steven J. Elliott, WuXi AppTec

Sue Ellen Erickson, MS CNOR, Independent Expert

Th Rosie Fardo, RN BSN CIC CHSP, Department of Veterans Affairs Medical Center dis intSusah Flynn, 3M Healthcareential purchasers to evaluate the content Thomas J. Frazar, Johnson & Johnson France Frechette, MSC, TSO3 Inc. e making a purchasing decision.

Veronica Gileau, Alcon Laboratories Inc.

Zory R. Glaser, PhD MPH CSPDM, Independent Expert ent, contact AAMI at

Steve N. Goldstine, PhD, Independent Expert

Doug F. Harbrecht, Boston Scientific Corporation///www.aami.org.

Rachel Hill, CareFusion

Brent Huberty, St Jude Medical Inc.

Roland C. Kippenhan, Minntech Corporation Susan G. Klacik, CCSMC FCS ACE, IAHCSMM Stephen M. Kovach, Healthmark Ind Co Inc.

Colleen Patricia Landers, RN, Canadian Standards Association

Teckla A. Maresca, LPN CSPDM, Independent Expert

Patrick J. McCormick, PhD, Bausch & Lomb Inc.

Russell D. Mills, Zimmer Inc.

Emily Mitzel, MS, Nelson Laboratories Inc. Richard T. O'Donnell, Steris Corporation

Dave Parente, NAMSA

Rodney D. Parker, Stryker Instruments Division

Vana Poovala, Philips Healthcare

Rose E. Seavey, RN MBA CNOR CRCST, Independent Expert

Linda Slone, RN BSPA CNOR, Independent Expert

Joan M. Spear, Aesculap Inc.

Donald Tumminelli, SPS Medical Supply Corp

Steven E. Turtil, FDA/CDRH

Nora E. Wikander, RN.CSPDM, Independent Expert

Christine H. Yunker, Abbott Laboratories

Alternates: Denise Adams, Aesculap Inc.

Susanne Anderson, NAMSA Edward Arscott, Johnson & Johnson

David E. Barlow, PhD, Olympus America Inc. Ralph J. Basile, MBA, Healthmark Ind Co Inc. Carlos Chavez, PhD, Abbott Laboratories Charles Cogdill, Boston Scientific Corporation

Kimbrell Darnell, CR Bard Jeff Felgar, Zimmer Inc. Danny Hutson, CareFusion Jim Kaiser, Bausch & Lomb Inc.

Natalie Lind, IAHCSMM

Jonathan Karl Olson, MA, Minntech Corporation

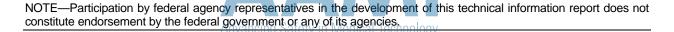
Alpa Patel, BS, Nelson Laboratories Inc. Patrick Polito, Ethox International Inc.

Gary J. Socola, SPS Medical Supply Corporation

Julia Taylor, WuXi AppTec Jeff Teeter, CareFusion

Todd A. Veverka, Steris Corporation Dennis L. Wildes, St Jude Medical Inc.

Martha Young, 3M Healthcare



At the time this document was published, the **AAMI Sterilization Standards Committee** had the following members:

Victoria M. Hitchins, PhD Cochairs:

William E. Young

Trabue D. Bryans, WuXi AppTec Inc. Members:

Peter A. Burke, PhD, STERIS Corporation

Th Nancy-Chobin, RN, CSPDM, Saint Barnabas Health Care System, West Orange, NJ is

Charles Cogdill, Boston Scientific Corporation

Ramona Conner, RN, MSN, CNOR, Association of periOperative Registered Nurses nt Jacqueline Daley, Association for Professionals in Infection Control and Epidemiology Kimbrell Darnell, CR Bard

Lisa Foster, Sterigenics International

Foloel R: Gorskie PhDcNAMSAf this AAMI document, contact AAMI at

Deborah A. Havlik, Hospira Worldwide Inc. Victoria M. Hitchins, PhD, U.S. Food and Drug Administration

Danny Hutson, Cardinal Health Lois Atkinson Jones, MS, Cary, IN

Susan G. Klacik, CCSMC, FCS, ACE, International Association of Healthcare Central Service Materiel Management

Byron J. Lambert, PhD, Abbott Laboratories

Colleen Patricia Landers, RN, Canadian Standards Association

David Liu, Johnson & Johnson

Lisa N. Macdonald, Becton Dickinson & Company

Jeff Martin, Alcon Laboratories Inc.

Patrick J. McCormick, PhD, Bausch & Lomb Inc.

Susie D. McDonald, RN, FCSP, Winter Park Memorial Hospital, Winter Park, FL

Nancy J. Rakiewicz, Ethox International Inc.

Phil M. Schneider, 3M Health Care

Michael H. Scholla, MS, PhD, DuPont Nonwovens Mark Seybold, Baxter Healthcare Corporation Andrew Sharavara, Propper Manufacturing Co. Inc.

Mark N. Smith, Getinge USA William N. Thompson, Covidien

James L. Whitby, MA, MB, FRCP, London, Ontario Martell Kress Winters, SM, Nelson Laboratories Inc.

Lloyd Brown, Covidien Alternates:

Glenn W. Calvert, Becton Dickinson & Company

David Dion, Cardinal Health

Thomas J. Frazar, Johnson & Johnson Kathy Hoffman, Sterigenics International

Jim Kaiser, Bausch & Lomb Inc. Joseph J. Lasich, Alcon Research Ltd. Chiu S. Lin, PhD, U.S. Food and Drug Administration
Natalie Lind, International Association of Healthcare Central Service Materiel Management
Ralph Makinen, Boston Scientific Corporation
Mary S. Mayo, CR Bard
David Ford McGoldrick, Abbott Laboratories
Jerry R. Nelson, MS, PhD, Nelson Laboratories Inc.
Karen Polkinghorne, DuPont Nonwovens
Janet M. Prust, 3M Health Care
Michael Sadowski, Baxter Healthcare Corporation
John R. Scoville, Jr., STERIS Corporation
Ralph Stick, WuXi AppTec Inc.
Jason Voisinet, Ethox International Inc.
Valerie Welter, Hospira Worldwide Inc.
William E. Young, Boston Scientific Corporation

NOTE—Participation by federal agency representatives in the development of this technical information report does not constitute endorsement by the federal government or any of its agencies.

### PREVIEW COPY

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision.

#### **Foreword**

This AAMI Technical Information Report (TIR) was developed by the AAMI Instructions for Device Reprocessing Working Group under the auspices of the AAMI Sterilization Standards Committee.

The first edition of this TIR was published in 1994 and a second edition in 2004. The current edition, the third, provides up-to-date information on cleaning processes, cleaning verification, and currently available sterilization technologies. Also, the text and reference material have been generally updated for currency.

As used within the context of this document, "should" indicates that among several possibilities one is recommended as particularly suitable without mentioning or excluding others, or that a certain course of action is preferred but not necessarily required, or that (in the negative form) a certain possibility or course of action should be avoided but not prohibited. "May" is used to indicate that a course of action is permissible within the limits of the TIR. "Can" is used as a statement of possibility and capability. "Must" is used only to describe "unavoidable" situations, including those mandated by government regulations. See also the NOTE on Page 1.

Suggestions for improving this TIR are invited. Comments and suggested revisions should be sent to Technical Programs, AAMI, 4301 N. Fairfax Dr., Suite 301, Arlington, VA 22203-1633.

NOTE—This foreword does not contain provisions of AAMI TIR12:2010, Designing, testing and labeling reusable medical devices for reprocessing in health care facilities: A guide for medical device manufacturers, but it does provide important information about the development and intended use of the document.

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision.

# Designing, testing, and labeling reusable medical devices for reprocessing in health care facilities: A guide for device manufacturers

#### Introduction

Scientific advances in diagnostic and therapeutic medicine have led to the development of new and sophisticated reusable medical devices and instruments for use by health care professionals. These devices vary in size, complexity, fragility, and immersibility, as well as in sensitivity to cleaning, disinfecting, and sterilizing agents and processes used. Manufacturers of reusable medical devices have the responsibility to support product label claims of reusability by providing complete and comprehensive written instructions for the handling, cleaning, disinfection, testing, packaging, sterilization, and, if applicable, aeration of their products. Manufacturers also have the responsibility to conduct and document any testing necessary to validate the suitability of these instructions. Manufacturers have these obligations under U.S. Food and Drug Administration (FDA) labeling regulations (21 CFR 801). Detailed FDA recommendations are provided in the FDA guidance document, Labeling reusable medical devices for reprocessing in health care facilities: FDA reviewer guidance (FDA, 1996).

Health care personnel have the responsibility to obtain and review manufacturers' data and recommendations and to ensure that they have the necessary resources to follow manufacturers' instructions thoroughly.

Intended to allow potential purchasers to evaluate the content

This TIR is intended to assist medical device manufacturers in the design, testing, and labeling of devices intended for reuse and reprocessing in health care facilities. Device manufacturers might wish to reassess the labeling of existing products in light of the recommendations of this TIR.

In addition, this TIR can serve as a resource for identifying the questions health care professionals should ask manufacturers when considering a product for purchase or when devising a reprocessing protocol for a product already being used. See also ANSI/AAMI ST40, ANSI/AAMI ST41, ANSI/AAMI ST58, and ANSI/AAMI ST79.

NOTE—This technical information report is not a standard, and the material contained herein is not normative in nature. The committee has used the term "shall" in a few instances, based on their knowledge of requirements contained in relevant standards and regulatory requirements.

#### 1 Scope

#### 1.1 Inclusions

The scope of this TIR includes the following topics:

- a) Design considerations: Assurance that a device can be safely and effectively reprocessed begins with the design of the device. Section 3 of the TIR describes categories of medical devices and the materials and other design characteristics that affect the ability of health care personnel to clean, disinfect, and/or sterilize devices adequately.
- b) Decontamination: A device cannot be disinfected adequately or sterilized to an adequate sterility assurance level (SAL) if it cannot be cleaned thoroughly. Section 4 addresses variables associated with cleaning and other decontamination processes used in health care facilities, as well as the minimum information that the device manufacturer should supply to health care personnel.
- c) Disinfection: Section 5 describes the levels of disinfection, the criteria for selecting chemical disinfectants, and the testing that device manufacturers should perform to establish the effectiveness of the disinfection processes recommended for their products.
- d) Sterilization: Section 6 describes the sterilization processes commonly used in health care facilities, the minimum information that device manufacturers should provide with their products, and the procedures that device manufacturers should use to qualify the sterilization parameters that they recommend for their products.

This TIR also includes definitions of terms, a list of references, and annexes providing supplementary information.

#### 1.2 Exclusions

This TIR does *not* cover the following topics:

- a) the design, testing, and labeling of reusable textiles (see ANSI/AAMI PB70),
- b) the design, testing, and labeling of devices intended and labeled for single use, or
- c) the design, testing, and labeling of containment devices for reusable medical devices (see ANSI/AAMI ST77).

Although this TIR refers to water quality for cleaning and other elements of reprocessing, it does not address methods of producing or ensuring adequate water quality (see AAMI TIR34).

Advancing Safety in Medical Technology

### **PREVIEW COPY**

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision.